



IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

RECEIVED  
SEP 24 2001  
Technology Center 2600

Receipt  
#7  
Sinc  
9/26/01

APPLICANT(S): Jay L. Gainsboro *et al.*  
APPLICATION NO.: 09/144,313  
FILING DATE: August 31, 1998  
TITLE: COMPUTER BASED METHOD AND APPARATUS FOR  
CONTROLLING, MONITORING, RECORDING AND  
REPORTING TELEPHONE ACCESS  
EXAMINER: Unknown  
GROUP ART UNIT: 2748  
ATTY. DKT. NO.: 18279-05700

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231, on the date shown below:

Dated: 5/9/01

By: 

Michael R. Blum, Reg. No.: 44,543

COMMISSIONER FOR PATENTS  
OFFICE OF INITIAL PATENT EXAMINATION  
CUSTOMER SERVICE CENTER  
WASHINGTON, DC. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

SIR:

Enclosed is a copy of the Official Filing Receipt. It contains the following error:

The "continuing data as claimed by Applicant" erroneously states that this application is a **continuation** of 08/904,784 filed August 1, 1997. The correct continuing data is that this application is a **continuation-in-part** as evidenced by the first paragraph of the specification. A copy of which is enclosed.

Also enclosed for your convenience are a copy of the original filing receipt marked in red and an application data sheet.

Please issue a corrected Filing Receipt rectifying this error.

Respectfully submitted,  
JAY L. GAINSBORO and  
CHARLES MARGOSIAN

Dated: \_\_\_\_\_

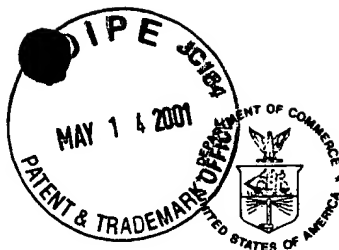
5/9/01

By: \_\_\_\_\_

Michael R. Blum, Reg. No.: 44,543  
Fenwick & West LLP  
Two Palo Alto Square  
Palo Alto, CA 94306  
Tel.: (415) 875-2468  
Fax.: (415) 281-1350

18602/00000/SF/5048917.1

FILING RECEIPT  
CORRECTED



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/144,313	08/31/98	2748	\$445.00	600-015	29	3	3

WARD & OLIVO  
708 THIRD AVENUE  
NEW YORK NY 10017

RECEIVED  
SEP 24 2001  
Technology Center 2600

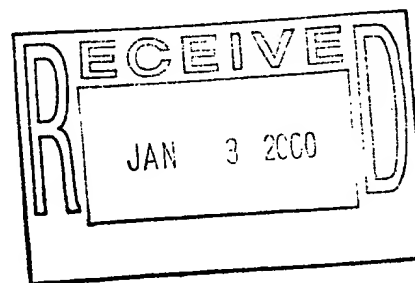
Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) JAY L. GAINSBORO, FRAMINGHAM, MA; CHARLES MARGOSIAN, WAYLAND, MA.

*CONTINUATION-IN-PART*  
CONTINUING DATA AS CLAIMED BY APPLICANT-  
THIS APPLN IS A CON OF 08/904,784 08/01/97  
WHICH IS A CON OF 08/510,327 08/02/95 PAT 5,655,013  
WHICH IS A CON OF 08/229,517 04/19/94 ABN

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 08/20/99 \*\* SMALL ENTITY \*\*  
TITLE  
COMPUTER-BASED METHOD AND APPARATUS FOR CONTROLLING, MONITORING,  
RECORDING AND REPORTING TELEPHONE ACCESS

PRELIMINARY CLASS: 379



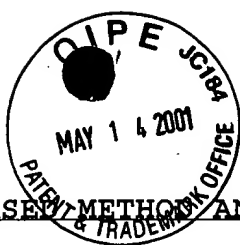
**COPY**

DATA ENTRY BY: BRITTON, PAULA

TEAM: 03 DATE: 12/29/99



(See reverse for new important information)



COMPUTER-BASED METHOD AND APPARATUS FOR CONTROLLING, MONITORING,  
RECORDING AND REPORTING TELEPHONE ACCESS

RECEIVED

SEP 24 2001

CROSS-REFERENCE TO RELATED APPLICATIONS

Technology Center 2600

This application is filed as a continuation-in-part of  
5 application Ser. No. 08/904,784, filed August 1, 1997, which is a  
continuation of application Ser. No. 08/510,327, filed August 2,  
1995 (now U.S. Patent No. 5,655,013), which is a continuation of  
application Ser. No. 08/229,517, filed April 19, 1994, now  
abandoned.

10 FIELD OF THE INVENTION

The present invention relates generally to the fields of  
telecommunications and penal institution management. More  
particularly, the invention relates to a computer-based method and  
apparatus for controlling, monitoring, recording, and reporting  
15 access to audio, video, and/or data signals entering a controlled,  
institutional environment, such as a prison, military base,  
hospital, school, business or government organization.

BACKGROUND OF THE INVENTION

Generally, the need to control access to outside information  
20 sources -- i.e. via a telephone line -- in an institutional  
environment is well recognized. In order to prevent individuals

**COPY**

INVENTOR INFORMATION



Inventor One Given Name:: Jay  
Family Name:: Gainsboro  
Postal Address Line One:: 5 Bancroft Circle  
City:: Framingham  
State or Province:: Massachusetts  
Country:: USA  
Postal or Zip Code:: 01701  
City of Residence:: Framingham  
State or Province of Residence:: Massachusetts  
Country of Residence:: USA  
Citizenship Country:: USA  
Inventor Two Given Name:: Charles  
Family Name:: Margosian  
Postal Address Line One:: 6 Brooks Road  
City:: Wayland  
State or Province:: Massachusetts  
Country:: USA  
Postal or Zip Code:: 01778  
City of Residence:: Wayland  
State or Province of Residence:: Massachusetts  
Country of Residence:: USA  
Citizenship Country:: USA

RECEIVED  
SEP 24 2001  
Technology Center 2600

CORRESPONDENCE INFORMATION

Correspondence Customer Number:: 00758  
Fax One:: (415) 281-1350  
Electronic Mail One:: mblum@fenwick.com

APPLICATION INFORMATION

Title Line One:: COMPUTER-BASED METHOD AND APPARATUS FOR  
Title Line Two:: CONTROLLING, MONITORING, RECORDING AND R  
Title Line Three:: EPORTING TELEPHONE ACCESS  
Total Drawing Sheets:: 29  
Formal Drawings?: No  
Application Type:: Utility  
Docket Number:: 18279-05700  
Secrecy Order in Parent Appl.?: No

REPRESENTATIVE INFORMATION

Representative Customer Number:: 758  
Registration Number One:: 34838  
Registration Number Two:: 42120  
Registration Number Three:: 44543

CONTINUITY INFORMATION

This application is a:: CONTINUATION IN PART OF  
> Application One:: 08/904,784  
Filing Date:: 08-01-1997

Which is a:: CONTINUATION OF  
>> Application Two:: 08/510,327  
Filing Date:: 08-02-1995  
Patent Number:: 5,655,013

Which is a:: CONTINUATION OF  
>>> Application Three:: 08/229,517  
Filing Date:: 04-19-1994

Source:: PrintEFS Version 1.0.1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 4672

<b>SERIAL NUMBER</b> 09/144,313	<b>FILING DATE</b> 08/31/1998 <b>RULE</b>	<b>CLASS</b> 379	<b>GROUP ART UNIT</b> 2642	<b>ATTORNEY DOCKET NO.</b> 600-015
------------------------------------	---	---------------------	-------------------------------	---------------------------------------

**APPLICANTS**

JAY L. GAINSBORO, FRAMINGHAM, MA;  
CHARLES MARGOSIAN, WAYLAND, MA;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLICATION IS A CIP OF 08/904,784 08/01/1997  
WHICH IS A CON OF 08/510,327 08/02/1995 PAT 5,655,013  
WHICH IS A CON OF 08/229,517 04/19/1994 ABN

*Foster*  
*Dan Hunter*

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

*NONE*

**\*\* SMALL ENTITY \*\***

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	<b>STATE OR COUNTRY</b> MA	<b>SHEETS DRAWING</b> 29	<b>TOTAL CLAIMS</b> 3	<b>INDEPENDENT CLAIMS</b> 3
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature <i>Donald G. B.</i> Initials <i>G.B.</i>				

**ADDRESS**

WARD & OLIVO  
708 THIRD AVENUE  
NEW YORK, NY 10017

**TITLE**

COMPUTER-BASED METHOD AND APPARATUS FOR CONTROLLING, MONITORING, RECORDING AND REPORTING TELEPHONE ACCESS

<b>FILING FEE RECEIVED</b> 598	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit